

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 4062-190
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 101577043 unknown
INTERNATIONAL APPLICATION NO. PCT/IN2004/000341	INTERNATIONAL FILING DATE 2 November 2004	PRIORITY DATE CLAIMED 3 November 2003

TITLE OF INVENTION
PROCESSES FOR PREPARING DIFFERENT FORMS OF (S)-(+)- CLOPIDOGREL BISULFATE


APPLICANT(S) FOR DO/EO/US
LOHRAY et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The U.S. has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☒ is attached hereto (25 pages specification, claims & abstract (24 claims)).
 - ☒ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))
 - ☐ is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation).
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
 - ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- An Application Data Sheet under 37 C.F.R. § 1.76.
- A substitute specification.
- A change of power of attorney and/or address letter.
- computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ items or information. PTO/SB/08a listing references cited in the attached International Search Report, Form PCT/IB/308, International Preliminary Report on Patentability

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) unknown		INTERNATIONAL APPLICATION NO. PCT/IN2004/000341		ATTORNEY'S DOCKET NUMBER 4062-190	
<input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):					
21.	<input checked="" type="checkbox"/> Basic national fee			\$	300.00
22.	<input checked="" type="checkbox"/> Examination Fee			\$	200.00
23.	<input checked="" type="checkbox"/> Search Fee			\$	400.00
				\$	900.00
TOTAL OF ABOVE CALCULATIONS				\$	900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
25	-100	0 /50 = 0.00		\$250.00 (1681) \$125.00 (2681)	
Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
CLAIMS		NUMBER FILED	# EXTRA	RATE	
Total Claims		24	minus 20 = 4 X	\$50.00 (1615)/ \$25.00 (2615)	
Independent Claims		19	minus 3 = 16 X	\$200.00 (1614) \$100.00 (2614)	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				\$360.00 (1616)/\$180.00 (2616)	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)				\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					
TOTAL NATIONAL FEE =				\$	4430.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property				\$	0.00
Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)				\$	0.00
TOTAL FEES ENCLOSED =				\$	4430.00
				Amount to be refunded:	\$
				Amount to be Charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$4430.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.</p> <p>d. <input type="checkbox"/> CREDIT CARD PAYMENT FORM ATTACHED.</p> <p>e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/IN2004/000341 and any U.S. and foreign application(s) corresponding thereto, and IN 1154/MUM/2003 and IN 1217/MUM/2003, referred to in this application is/are hereby incorporated by reference in this application.</p> <p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p> <p>CORRESPONDENCE ADDRESS Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: 23117 <small>Type Customer Number here</small></p>					
Telephone: (703) 816-4000		<div style="text-align: center;">  Larry S. Nixon NAME </div>			
LSN:alb					
		<div style="display: flex; justify-content: space-between;"> 25,640 May 3, 2006 </div> <div style="display: flex; justify-content: space-between;"> REGISTRATION NUMBER Date </div>			